



Independent Statutes of

Luiss - Libera Università Internazionale degli Studi Sociali Guido Carli

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INDEPENDENT STATUTES OF LUISS LIBERA UNIVERSITÀ INTERNAZIONALE DEGLI STUDI SOCIALI GUIDO CARLI

Chapter I GENERAL PROVISIONS

Article 1

Luiss Libera Università Internazionale degli Studi Sociali Guido Carli, Luiss Guido Carli for short, is an independent cultural institution whose primary purpose is the creation and imparting of knowledge in the sciences, technology and the humanities, the promotion and organization of research, cultural and professional education and the transfer of innovation.

In order to attain its institutional purposes Luiss Guido Carli has devised a plan aimed at offering the Italian and international economic, production and institutional system innovative and qualified results in the areas of scientific knowledge, research and advanced education.

Consequently, the University seeks to train young people suited to taking on management responsibilities in complex public and private systems and in the liberal professions and provide them with:

- conceptual knowledge and tools that can be translated into the necessary scientific and methodological references
- professional and ethical attitudes directed towards analysis and the devising of operational strategies
- the ability to make decisions and take action in organizational processes in conditions of uncertainty and change

Article 2

Luiss Guido Carli is promoted by Associazione per la Libera Università Internazionale degli Studi Sociali Guido Carli (ALUISS), which, as the promoter, assures the pursuit of the University's institutional purposes and provides the necessary services and means.

In addition to ALUISS, the University is supported also by Associazione Amici della Luiss, which in particular provides scholarships for study and research.

Article 3

Luiss Guido Carli, with its headquarters in Rome, is a private university with legal personality and didactic, academic, administrative, organizational and disciplinary autonomy, pursuant to Article 33 of the Italian Constitution and in accordance with Article 1 of the Higher Education

Consolidation Act, approved by Royal Decree No. 1592 of 31 August 1933, as amended, and Article 1 of Law 243/1991.

Supervision is exercised by the Ministry of Universities and Research.

Article 4

Amendments to these Statutes are approved by the Board of Directors by absolute majority of voting members.

The University Academic Regulations (*regolamento didattico d'ateneo*) are approved by the Academic Senate and, to the extent within its remit, by the Board of Directors.

The General University Regulations (*regolamento generale di ateneo*), which govern the University's structure, are approved by the Board of Directors, acting on a proposal from the Executive Committee, after consulting with the Academic Senate.

The regulations concerning administration, finance and accounting are approved by the Board of Directors, acting on a proposal from the Executive Committee.

The regulations concerning academic staff are approved by the Executive Committee, acting on a proposal from the Academic Senate.

The Code of Ethics of the University Community, made up of academic staff, researchers, administrative staff and university students, is approved by the Board of Directors, acting on a proposal from the Academic Senate. Violations of the provisions of the Code of Ethics not falling within the remit of the Disciplinary Board referred to in Article 21 hereof shall be a matter for decision by the Board of Directors.

The regulations on the Evaluation Unit are approved by the Executive Committee.

The regulations on the alumni network are approved by the Executive Committee.

The Unified Electoral Regulations for the Election of Representatives to the University's Bodies (*regolamento unificato per le elezioni delle rappresentanze negli organi*) are approved by the Executive Committee.

Any further regulations are approved by the Executive Committee, acting on a proposal from the President and/or Rector.

Chapter II

UNIVERSITY BODIES

Article 5

The following are university bodies:

- a) the Board of Directors (*consiglio di amministrazione*)

- b) the Executive Committee (*comitato esecutivo*)
- c) the President (*presidente*), the Executive Vice President (*vice presidente esecutivo*) and, if one has been appointed, the Vice President (*vice presidente*)
- d) the General Manager (*direttore generale*)
- e) the Rector (*rettore*)
- f) the Academic Senate (*senato accademico*)
- g) the Department Council (*consiglio di dipartimento*)
- h) the Undergraduate School Council (*consiglio di scuola triennale e magistrale a ciclo unico*) and the Graduate School Council (*consiglio di scuola magistrale*)
- i) the Evaluation Unit (*nucleo di valutazione*)
- j) the Disciplinary Board (*collegio di disciplina*)

Article 6

The Board of Directors consists of the following individuals:

- a) the president and executive vice president of ALUISS
- b) eleven representatives designated by ALUISS, of which at least three representing the legal or natural persons who have contributed most to the University's funding
- c) the president and executive vice president of Associazione Amici della Luiss
- d) the Rector
- e) the General Manager
- f) a tenured professor of the University
- g) a government representative designated by the Ministry of Universities and Research
- h) a student on track in their academic program at the time of appointment
- i) the president of the alumni network, provided that the individual concerned is not a member of the University's academic staff and in any event is not an employee of or otherwise employed by the University

The designations of the members referred to in subparagraphs f) and h) occur on the basis of regulations approved by the Executive Committee.

The Board of Directors elects, on the advice of ALUISS, the President, the Executive Vice President and possibly a Vice President from among its members.

The term of office of the Board of Directors is four years.

In the manner provided for in the second paragraph of this article, rules may be adopted for replacing the members specified in that same paragraph for the residual term of office.

Save for the cases covered by the preceding paragraph hereof, members of the Board of Directors appointed to replace others who vacate office during their term hold office for the residual term thereof.

The functions of Secretary to the Board of Directors are performed by the General Manager.

Article 7

The Board of Directors and its President exercise the functions that are vested in them by the applicable laws on higher education and by the provisions of these Statutes.

Moreover, the Board of Directors:

- a) determines the general direction of the University's development with a view to attaining the aims set out in Article 1 of these Statutes; it also resolves on the associated programs, indicating the goals to be achieved, including proposal for possible new areas of study, and finalization of the specifications of educational processes
- b) approves the strategic plan, on the joint proposal of the Rector and the General Manager made with reference to their respective sphere of competence, after consulting with the Executive Committee; the strategic plan consists of an economic-financial plan and guidelines for the University's development with special reference also to the overall number of academic staff to be hired for each department
- c) approves the budget prepared by the General Manager and the University's financial statements and resolves on real property investments
- d) appoints the Rector, acting on a proposal from the President, selecting that individual from among full professors working at Italian universities
- e) appoints the heads of department, the deans of the undergraduate and graduate schools and also, if any, Vice Rectors (*prorettori*), acting on a proposal from the Rector, after consulting with the General Manager, selecting those individuals from among the University's full professors
- f) appoints the presidents of the post-graduate schools, acting on a proposal from the President
- g) appoints the deans of the post-graduate schools, acting on a proposal from the Rector, after consulting with the General Manager and the presidents of the post-graduate schools
- h) appoints the General Manager, acting on a proposal from the President
- i) resolves, by absolute majority of voting members, on the establishment and abolition of departments and undergraduate, graduate and post-graduate schools, in conformity with the strategic plan and acting on a proposal from the Academic Senate
- j) sets, after consulting with the Academic Senate, the maximum number of students to be enrolled as well as the amount of academic fees and contributions on the basis of proposals drawn up by the Executive Committee
- k) may grant special powers or delegate some of its functions to its members

- l) exercises all of the other functions vested in it by the laws on higher education, these Statutes or regulations except for the functions attributed by these Statutes to other bodies or delegated to the latter
- m) appoints the president of the alumni network, acting on a proposal from the President

Article 8

The President of the Board of Directors:

- a) chairs the meetings of the Board of Directors and the Executive Committee and convenes those bodies in accordance with the procedures set out in Article 15 hereof
- b) legally represents the University, including in legal proceedings
- c) oversees implementation of the decisions of the Board of Directors and the Executive Committee, save for the Rector's competences regarding teaching and scientific research
- d) may adopt urgent resolutions on matters falling within the Executive Committee's remit or may delegate their adoption to the Executive Vice President, reporting on them to the Executive Committee for ratification at its next meeting
- e) submits proposals to the Board of Directors on the appointment of the Rector, the General Manager and the presidents of the post-graduate schools
- f) may delegate the exercise of his or her functions to the Executive Vice President

Article 9

The Executive Committee, chaired by the President or, in the latter's absence, by the Executive Vice President, consists of the following individuals:

- a) the President of the Board of Directors, who chairs it
- b) the Executive Vice President
- c) the executive vice president of ALUISS
- d) the Rector
- e) the General Manager
- f) the Vice President, if one has been appointed

The functions of Secretary to the Executive Committee are performed by the General Manager.

The Executive Committee periodically informs the Board of Directors about its resolutions.

Article 10

The Executive Committee, in conformity with the strategic plan, resolves on all measures concerning the functioning of the University and exercises all other functions not reserved by

applicable law and these Statutes to any other body that entail income or expenditure, within the context of the appropriations indicated in the budget approved by the Board of Directors.

In particular, the Executive Committee:

- a) assesses the strategic plan with a view to presenting it to the Board of Directors
- b) resolves, acting on a proposal from the Academic Senate, on the vacant tenured professorships and research positions to be filled in accordance with the strategic plan
- c) appoints tenured professors and researchers, acting on a proposal from the Rector, made on the basis of the rankings drawn up by departments
- d) resolves, acting on a proposal from the Academic Senate, on the establishment of chairs affiliated with Italian and foreign entities and bodies
- e) approves, acting on a proposal from the Academic Senate after consulting with the councils of the undergraduate and graduate schools, the academic programs and courses to be established in each academic year
- f) approves, acting on a proposal from the councils of the undergraduate and graduate schools after consulting with the Academic Senate, the awarding of teaching contracts
- g) resolves, acting on a proposal from the Academic Senate and after consulting with the relevant post-graduate schools, on the organization of first-level and second-level university master's programs, advanced courses and specialization programs and, after consulting with the department councils, on the organization of research doctorate programs
- h) assigns budget holding organizational units their financial resources under the budget approved by the Board of Directors
- i) resolves on the hiring of administrative staff and adopts the measures for terminating employment contracts except for those that come to an end because of resignation
- j) resolves on exemptions from fees and contributions, to be borne by the University's funds

Article 11

The Rector is in charge of the teaching and research carried out at the University and the work of the academic staff and researchers. The Rector's term of office is three years.

The Rector:

- a) ensures compliance with all rules concerning academic and teaching matters
- b) arranges for implementation of the resolutions of the Board of Directors concerning academic and teaching matters
- c) issues disciplinary sanctions to students
- d) draws up the strategic plan in conjunction with the General Manager as regards the parts thereof falling within his or her remit as the Rector

- e) may entrust full professors with the task of handling certain aspects of university performance falling within his or her remit as the Rector and may propose to the Board of Directors that some of them be given the rank of Vice Rector
- f) represents the academic community from an academic standpoint in national and international contexts, with power to sign associated agreements, without prejudice to the powers vested in the General Manager where economic commitments are involved

The appointment of the Rector is communicated to the Ministry of Universities and Research.

Article 12

The Academic Senate consists of the following individuals:

- a) the Rector, who chairs and convenes it in accordance with the procedures set out in Article 15 hereof
- b) the Vice Rectors
- c) the heads of department
- d) the dean of the undergraduate school and the dean of the graduate school
- e) the deans of the post-graduate schools

The agenda for meetings of the Academic Senate is sent by the President of the Board of Directors.

Meetings of the Academic Senate are attended by the General Manager, who has an advisory vote and performs the functions of Secretary to the Academic Senate.

When the items on the agenda are of paramount importance to students, the student representative on the Board of Directors is invited to the sitting of the Academic Senate.

The Academic Senate exercises all of the powers that are vested in it by the Higher Education Consolidation Act, these Statutes, the General University Regulations and all of the other general and special rules concerning university studies.

The Academic Senate operates on the basis of the aims and policies set by the Board of Directors.

In particular, the Academic Senate assesses the guidelines for the University's development that make up the strategic plan and also assesses whether the proposals submitted by the departments and schools comply with that plan. The assessment is forwarded to the Executive Committee.

Moreover, within the framework of the general policy for the University's development, the Academic Senate:

- a) identifies the areas and facilities in which research projects must be undertaken as a matter of priority, setting the objectives to be attained and proposing the financial needs for each project

- b) submits proposals to the Executive Committee, through the Rector after having consulted with the department councils, on the tenured professorships and research positions to be filled in accordance with the strategic plan
- c) draws up teaching plans, proposing the financial needs for each plan
- d) submits proposals to the Executive Committee, after having consulted with the councils of the undergraduate and graduate schools, on the academic programs and courses to be established in each academic year
- e) expresses opinions on proposals to award teaching contracts made by the councils of the undergraduate and graduate schools
- f) submits proposals to the Executive Committee, after having assessed the proposals made by the relevant post-graduate schools, for the organization of first-level and second-level university master's programs, advanced courses and specialization programs and, after consulting with the department councils, for the organization of research doctorate programs

Article 13

Departments group and organize aggregations of research fields that are homogeneous in purpose and/or method. The configuration of departments by fields and the affiliation of individual faculty members to departments is decided, upon the Rector's proposal, by the Executive Committee after having mandatorily consulted with the Academic Senate.

The Department Council consists of the tenured professors and researchers affiliated with the department and is chaired by the head of department, who convenes it in accordance with the procedures set out in Article 15 hereof.

The head of department's term of office is three years, renewable for just one further term of office.

The head of department:

- a) submits proposals to the Rector and the General Manager concerning the drawing up of the strategic plan
- b) supervises, monitors and ensures the quality of research and third mission/social impact consistent with the strategies of the University and the department

Within the scope of the budget assigned to it and in accordance with the strategic plan, the Department Council:

- a) determines the coordination and development of the internal system of the supply of resources and expertise for research and the direction of research activity, concerning the various academic discipline fields
- b) determines the need for resources and expertise for teaching carried out by the undergraduate and graduate schools

- c) submits proposals, through the Rector, to the Academic Senate, concerning the vacant tenured professorships and research positions to be filled in accordance with the strategic plan, taking into account also the proposals, if any, put forward by the schools
- d) on completion of the selection process governed by the General University Regulations, by favorable vote of an absolute majority of first-level professors as regards hiring first-level professors and of first-level and second-level professors as regards hiring second-level professors and researchers, adopts a reasoned resolution establishing a ranking order and proposes it to the Rector
- e) submits proposals to the Academic Senate concerning the organization of research doctorate programs
- f) appoints an examining commission for the procedures associated with recruiting tenured professors and fixed-term researchers

If not members, the dean of the undergraduate school and the dean of the graduate school are invited to attend department council meetings.

Article 14

The schools constitute the teaching framework for educational activities, organized into academic programs.

An undergraduate school, for bachelor's and single-cycle master's degree programs, and a graduate school, for master's degree programs, are established.

The schools are each placed under the responsibility of a dean appointed by the Board of Directors, who serves a three-year term of office, renewable for just one further term of office.

The positions of dean of the undergraduate school and dean of the graduate school are normally held by the Vice Rectors for Teaching, if any.

The dean of school:

- a) makes proposals to the Rector and the General Manager concerning the drawing up of the strategic plan
- b) appoints the academic program directors, which appointments last until the new dean of school takes up office
- c) supervises, monitors and ensures the quality of teaching consistent with the strategies of the University and the school

The schools operate in the manner prescribed by the University General Regulations.

The school council consists of the dean of school, who chairs and convenes it in accordance with the procedures set out in Article 15 hereof, and the academic program directors of the programs that pertain to the school.

The school council:

- a) gives opinions to the Academic Senate on the establishment of new academic programs and courses
- b) determines which faculty members are affiliated with which academic programs
- c) submits proposals to the Academic Senate concerning the awarding of teaching contracts
- d) approves study plans and adopts resolutions on student matters
- e) may submit proposals to the relevant department for recruiting tenured professors and researchers

The deans of the post-graduate schools attend meetings of the undergraduate school and graduate school councils as invitees.

Article 15

The University's academic bodies are convened through a notice of call setting out the day, time and place of the meeting as well as the agenda therefor, sent by the president of the academic body or another individual deputizing for them at least five calendar days before the meeting save for shorter notice in cases of urgency.

The notice of call may be sent by e-mail or other suitable method.

The presence of at least half of the members in office of the academic body plus one is required to establish a quorum. In calculating the quorum those who have justified their absence in advance in writing are not taken into account.

A meeting will not be valid under any circumstances if the president or the person appointed to deputize for them is not present and, in any event, if at least a quarter of the members with voting rights are not present.

Meetings may also be held by teleconference or videoconference. In that case the president (or in their absence the person deputizing for them) must check that a quorum has been established, personally and definitely identifying all those participating by teleconference or videoconference and checking that the audio-visual link enables the participants to follow the discussion and intervene in real time.

Resolutions are adopted by majority vote of those present with voting rights except where the law in force, these Statutes or regulations require a qualified majority. In the event of a tie the president (or in their absence the person deputizing for them) has the casting vote. Those who abstain are taken into account for the purposes of determining the quorum.

Article 16

The post-graduate schools organize post-graduate education mainly having regard to the needs of the labor market and the professions and organize externally-funded research programs on matters pertaining to their own activities.

Each post-graduate school is each placed under the responsibility of a dean appointed by the Board of Directors, who serves a three-year term of office, renewable for just one further term of office.

The organization and functioning of the post-graduate schools are governed by their respective regulations.

The deans of post-graduate schools submit proposals to the Rector and the General Manager concerning the drawing up of the strategic plan.

The post-graduate schools submit proposals to the Academic Senate regarding the organization of first-level and second-level university master's programs, advanced courses and specialization programs.

The post-graduate schools may submit proposals to the relevant department concerning the recruitment of tenured professors and researchers.

Article 17

The term of office of heads of department and deans of school coincide with the term of office of the Rector.

Article 18

The rules governing the legal status of tenured professors in State universities shall apply to the University's tenured professors as regards matters not specifically addressed herein.

The remuneration, retirement benefits and social security arrangements for tenured professors shall not be inferior to that enjoyed by tenured professors at State universities.

The Rector, Vice Rectors, heads of department and deans of school may be awarded remuneration for those offices, the amount of which is set by the Board of Directors.

Article 19

In addition to performing the functions envisaged by law and university regulations, the General Manager oversees the carrying out of all the University's administrative, organizational, human resources and economic activities within the limits of the powers granted to him or her by the Executive Committee, the President or the Executive Vice President.

Article 20

The University shall establish a university unit for the internal evaluation of teaching and research activities as well as student services.

The members of the Evaluation Unit are appointed by the Executive Committee after consulting with the Academic Senate.

The organization, functioning and prerogatives of the Evaluation Unit are set out in regulations adopted in accordance with Article 4 hereof.

Article 21

The Board of Directors, acting on a proposal from the Academic Senate, appoints the Disciplinary Board consisting of five regular members and three alternate members, chosen from among full-time tenured professors. The Disciplinary Board appoints one of its five regular members as the chairperson. The members hold office for three consecutive years and may be reappointed for one further term of office only.

The Disciplinary Board conducts investigations within the context of disciplinary proceedings brought against professors and researchers (without prejudice to any civil and/or criminal liability) and issues a final opinion on the matter.

The Disciplinary Board operates in accordance with the adversarial principle and the concept of *audi alteram partem*. Membership of the Disciplinary Board does not bring with it any entitlement to fees, remuneration, compensation or reimbursement of expenses.

Article 22

The promoter assists in providing the University with the real property that it requires to carry out university activities.

Should the University for any reason cease to exist or be deprived of its legal personality and independence, its net assets shall be devolved pro rata to the persons who assured its funding in the last five years or to an entity that those persons nominate. In that case full possession of the property referred to in the first paragraph of this article shall return to the promoter.

To ensure the upkeep of the University the promoter may supplement the University's annual income from fees and contributions, net yields from assets, various initiatives and endowments from others with an annual grant whose will amount be decided by ALUISS having regard to the effective needs of Luiss Guido Carli.

Taking into account its own financial situation, Luiss Guido Carli may contribute by agreement to the costs of managing the real property made available by the promoter.

Article 23

The University may be a member of companies or other forms of associative entity under private law for the conception, development, promotion and accomplishment of training and/or research or in any event activities that are instrumental to teaching or useful in attaining the University's institutional purposes.

The University may enter into agreements aimed at regulating how to participate in the activities of companies or other bodies subject to such being instrumental in the manner referred to in the preceding paragraph.

Membership pursuant to the first paragraph of this article is subject to a resolution of the Executive Committee adopted after consulting with the Academic Senate to the extent that it falls within the latter's remit. The Executive Committee shall periodically inform the Board of Directors thereof.

Article 24

In order to achieve the University's institutional purposes, the Executive Committee may resolve on the establishment of or the University participation in joint-stock companies, limited liability companies or other forms of associative entity under private law – which thus take on the role of implementing entities – with legal personality and endowed with full separate legal personality for the design and delivery of training and research programs aimed at scientific and technological development and transfer as well as for the carrying out of other activities instrumental to teaching and research.

Article 25

Existing research facilities within departments and schools are placed under the direct coordination of the Vice Rector for Research.

Article 26

Upon the entry into force of these Statutes:

- department board (*giunta di dipartimento*) functions cease
- the current deans (*direttori*) of departments assume the position of heads of those departments and the current deans (*direttori*) of post-graduate schools assume the position of deans of those schools. The current heads/deans remain in office for the period corresponding to the office of the Rector.

Article 27

These Statutes enter into force on the date of publication in the Official Gazette of the Italian Republic.